

TROPTIONS · INSTITUTIONAL DOCUMENT SYSTEM

# AXL-001 RWA Financing Checklist

Asset Financing Readiness & Due Diligence

**For: Asset owners, lenders, compliance officers**

v1.0 · April 28, 2026

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## Executive Summary

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Complete financing checklist for the AXL-001 RWA package. This checklist covers asset verification, legal wrapper requirements, custody confirmation, lender requirements, and all approval conditions for the AXL-001 asset financing process.

## Who This Document Is For

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The AXL-001 asset owner, lenders reviewing the AXL-001 package, and compliance officers processing the AXL-001 intake.

## Required Information

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- AXL-001 asset description and location
- Current ownership structure
- Estimated value and basis
- Intended financing structure
- Legal jurisdiction

## Required Documents

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- & AXL-001 title documentation
- & Independent valuation report
- & Legal opinion on asset structure
- & Entity documents for all parties
- & Custody arrangement (if applicable)
- & Financing intent letter

## Step-by-Step Process

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### **Step 1: Asset Verification**

Verify AXL-001 asset details: confirm ownership, value, and legal status.

### **Step 2: Legal Wrapper**

Confirm appropriate legal wrapper is in place or in preparation for the AXL-001 asset.

### **Step 3: Custody Confirmation**

For physical assets: confirm custody arrangement with qualified custodian.

### **Step 4: Checklist Completion**

Complete all items in the AXL-001 financing checklist before proceeding to lender submission.

### **Step 5: Submission**

Upon 100% checklist completion and compliance approval, proceed to lender submission.

## Approval Gates

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- & Asset verified
- & Legal wrapper confirmed
- & Custody arranged (if applicable)
- & Checklist 100% complete
- & Compliance approval

& Lender submission authorised

## Readiness Checklist

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- & Asset title confirmed
- & Valuation received
- & Legal opinion received
- & Entity documents complete
- & Custody confirmed
- & Financing structure agreed
- & Compliance reviewed

## What Stays Blocked

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- Lender submission before checklist 100%
- Live execution before all approvals

## Common Blockers

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- Missing title documentation
- Valuation not received
- Legal wrapper incomplete
- Custody not arranged

## TROPTIONS System Handling

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TROPTIONS tracks AXL-001 checklist completion in the control plane. Each item must be confirmed before the system advances the status to lender-ready.

## Final Checklist Before Submission

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- & All asset documents complete
- & Legal wrapper confirmed
- & Custody arranged
- & Entity documents complete
- & Checklist 100%
- & Compliance approval received
- & Lender submission authorised

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