

TROPTIONS · INSTITUTIONAL DOCUMENT SYSTEM

TROPTIONS Platform Overview

Architecture, Compliance & Participant Guide

For: All participants, lenders, partners

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TROPTIONS materials are provided for informational and due-diligence purposes only. TROPTIONS is not a bank, broker-dealer, exchange, custodian, licensed financial institution, investment adviser, or legal adviser. No live custody, exchange, stablecoin issuance, IOU issuance, Aave execution, token buyback, liquidity pool execution, mining operation, or public investment functionality is enabled. All execution requires legal, compliance, provider, custody, signer, and governance approvals.

Executive Summary

The TROPTIONS platform is a compliance-controlled digital asset ecosystem providing tokenisation infrastructure, RWA financing coordination, XRPL/Stellar settlement rails, and governance-gated execution. All capabilities require multi-party legal, compliance, and governance approval before activation.

Who This Document Is For

This document is for prospective participants, lenders evaluating TROPTIONS-assisted financing, attorneys reviewing platform structure, partner organisations, and institutional contacts performing initial due diligence.

Required Information

- Legal entity name and jurisdiction
- Participant role (owner, lender, operator)
- Intended use case
- Compliance jurisdiction requirements
- Contact information and authorised representative

Required Documents

- & Government-issued identification
- & Entity formation documents (if applicable)
- & Compliance questionnaire
- & Intended use case description
- & Source of funds declaration

Step-by-Step Process

Step 1: Initial Contact

Submit participant intake form at </portal/troptions/onboarding>. Provide entity details and intended use case.

Step 2: KYC / Compliance Review

Complete KYC questionnaire. Submit required identity and entity documents for review by compliance team.

Step 3: Platform Orientation

Review platform overview, applicable handbooks, and compliance notices. Confirm understanding of simulationOnly status.

Step 4: Role Assignment

TROPTIONS governance assigns participant role based on use case and compliance clearance.

Step 5: Activation

Upon full approval, relevant platform capabilities are activated for your participant profile.

Approval Gates

- & KYC/AML clearance
- & Legal entity verification
- & Compliance jurisdiction review
- & Governance role approval
- & Capability-specific approval for each activated feature

Readiness Checklist

- & Identity documents submitted
- & Entity documents submitted
- & KYC questionnaire complete
- & Intended use case documented
- & Compliance review passed
- & Platform terms acknowledged

What Stays Blocked

- Live stablecoin issuance
- Live IOU issuance
- Custody services
- Exchange functionality
- Mining operations
- Public investment offerings
- Aave execution
- Token buybacks
- Liquidity pool execution

Common Blockers

- Incomplete KYC documentation
- Unresolved compliance flags
- Missing legal entity documents
- Jurisdictional restrictions
- Pending governance approval

TROPTIONS System Handling

TROPTIONS processes all participant intake through a compliance-first workflow. All capabilities are tagged simulationOnly in the system until full legal, compliance, and governance approvals are confirmed. No live execution is possible without explicit approval at each gate.

Final Checklist Before Submission

- & All identity documents submitted
- & Entity documents verified
- & KYC questionnaire complete
- & Compliance review passed
- & Role assignment confirmed
- & Relevant handbooks reviewed
- & Safety disclaimer acknowledged

SAFETY & COMPLIANCE DISCLAIMER

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